



# Breaking the news in neurology: Lessons learned from patients

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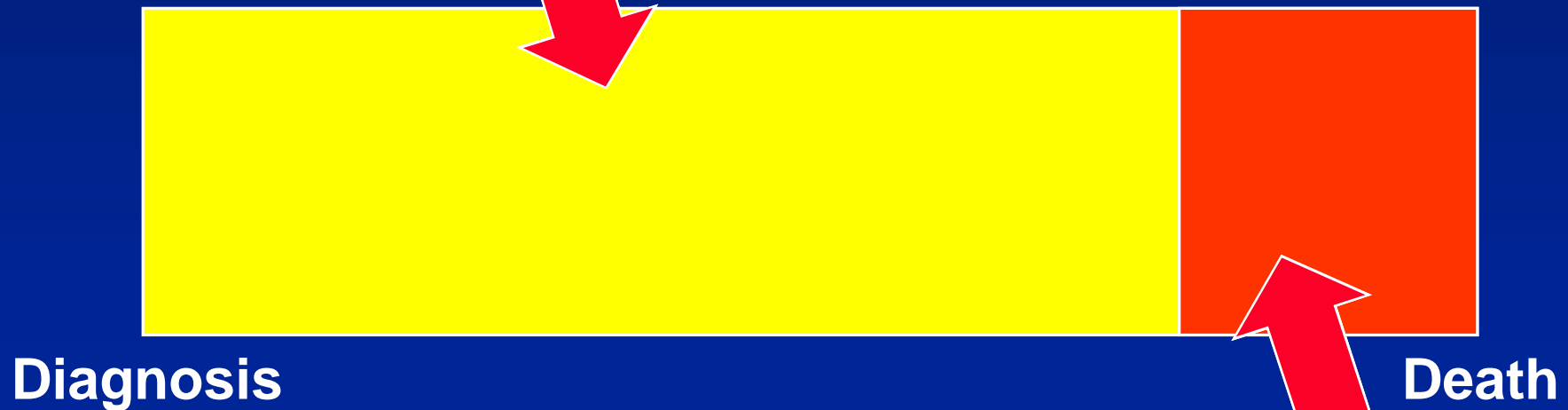
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are present with regard  
to this presentation

# Learning objectives

- | To understand the basic principles underlying the breaking of bad news in Neurology
- | To understand the importance of active listening
- | To know the steps of the SPIKES protocol
- | To conceptualize the communication of the diagnosis as the first step in advance care planning

# Palliative Care: Historical Beginning

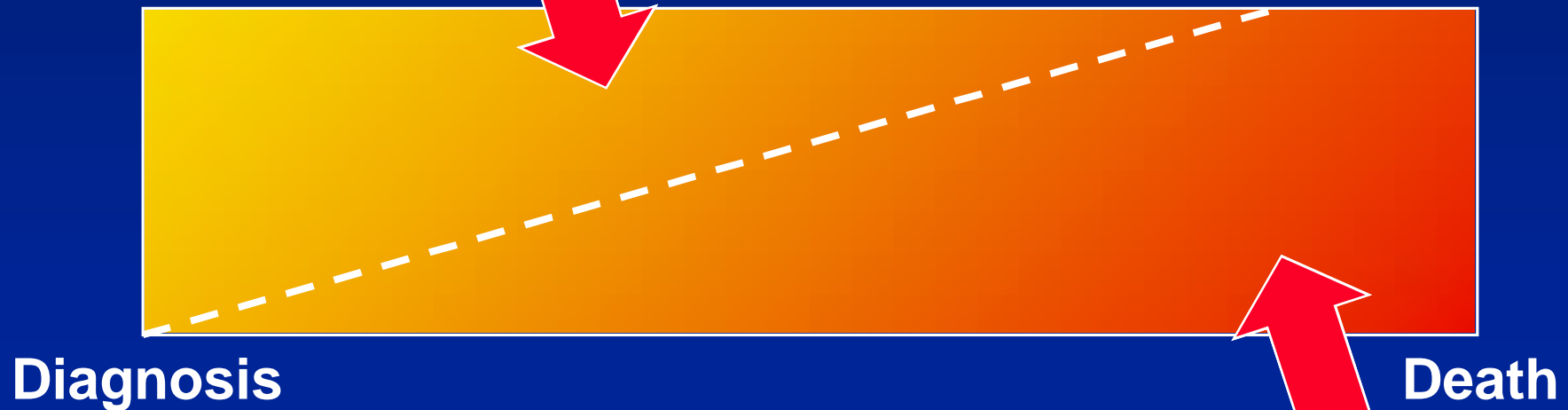
**Curative / life-prolonging therapy**



**Relieve suffering (hospice)**

# Palliative Care: Evolution of a Concept

**Curative / life-prolonging therapy**



**Improvement of quality of life**

# Palliative Care

is care for the end of life,  
not just at the end of life.

# Amyotrophic Lateral Sclerosis

- | degenerative disease of the first (central) and the second (peripheral) motor neuron
- | progressive paralysis of all voluntary muscles
- | no major deficits in sensation
- | mostly mild cognitive changes of the frontotemporal type

# Epidemiology of ALS

- | incidence 1.5-2 / 100,000 / year
- | prevalence 6-8 / 100,000  
(increasing tendency)
- | gender distribution m : f 1.5 : 1
- | average age at onset 58 years



# Clinical Course of ALS

- | constant progression, different speeds
- | rarely phases of stabilisation
- | virtually no remissions
- | no abrupt deteriorations
- | median life expectancy: 3 years (10% >10yrs)
- | death through respiratory insufficiency



# Amyotrophic Lateral Sclerosis: a chronic illness?

- | Loss of ambulation
- | Loss of manual dexterity
- | Loss of writing
- | Loss of driving ability
- | Loss of working ability
- | Loss of self-care ability
- | Loss of ability to swallow
- | Loss of speech
- | Loss of breathing ability
- | Loss of all communication  
(locked-in)
- | Loss of emotional control
- | Loss of independence
- | Loss of social role
- | Loss of family role
- | Loss of intimacy
- | Loss of dignity
- | Loss of hope
- | Loss of faith
- | Loss of meaning in life

“When you think that you've lost everything, you find out you can always lose a little more.”

Bob Dylan, *Trying To Get To Heaven*

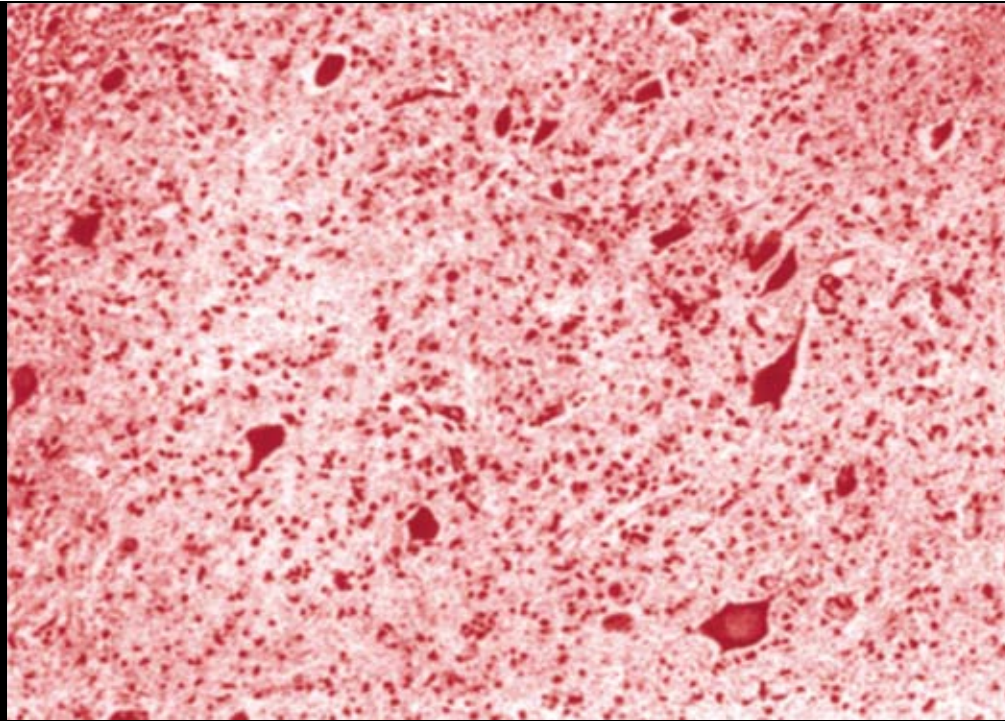
# Symptoms of ALS

## Direct

- weakness and atrophy
- fasciculations and muscle cramps
- spasticity
- dysarthria
- dysphagia
- dyspnea
- pathol. laughing/crying

## Indirect

- psychological problems
- sleep disorders
- constipation
- drooling
- thick mucous secretions
- symptoms of chronic hypoventilation
- pain

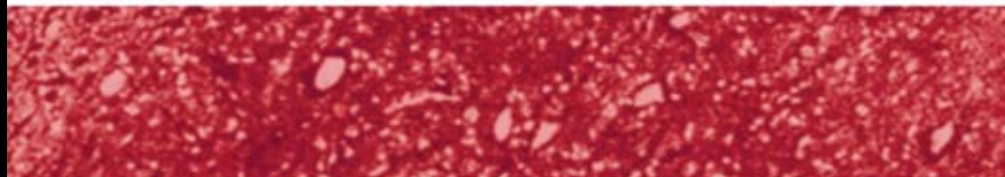


# Palliative Care in Amyotrophic Lateral Sclerosis

FROM DIAGNOSIS TO BEREAVEMENT

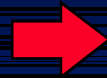
second edition

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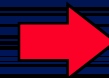


# Palliative Care in ALS

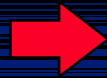
breaking the news



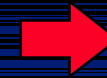
psychological support



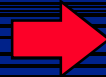
sympt. treatments



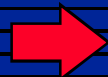
PEG, noninv. ventilation



end-of-life decisions



terminal phase and death



bereavement counselling

# Survey on breaking the news

(Borasio et al, J Neurol Sci 1998)

- | >50% of ALS patients were unsatisfied with the way the diagnosis was told
- | Reasons for dissatisfaction:
  - unclear explanation of the diagnosis
  - no open discussion of course and prognosis
  - no information on where to find help
  - lack of empathy



# Breaking the news in ALS

- integral part of palliative therapy
- not standardisable
- early, frank and open
- with relatives
- stepwise
- repeated

# Goals

- telling the diagnosis
- preventing fears and anxiety
- providing information for life planning
- discussion of forthcoming palliative measures
- establishment of a mutual trust relationship

# Standards of palliative care for patients with ALS: results of a European survey

(Borasio et al., Amyotr Later Scler 2001)

## Telling the diagnosis

- | 15% do not inform in the presence of a relative
- | 24% do not inform on existing ALS associations
- | 31% do not tell the diagnosis of ALS to all patients
- | 37% take <30 min (4% <10 min)
- | 12% have a counsellor available

# Cultural sensitivity

- | Different communication patterns in different cultures
- | Migration background: often different cultures within the same family
- | Never take anything for granted
- | Do not use relatives as translators if at all possible

# Why breaking bad news is difficult for doctors

(after Buckman, 1996)

- Fear of the messenger getting blamed for bad news
- Perceived lack of time
- Lack of training
- Fear of causing distress
- Fear of being asked difficult questions
- Fear of not having all the answers
- Invoking fears of one's own mortality

# Three basic rules

(after Maguire et al., 1986)

- | do not withhold information if the patient wants it
- | do not impose information if the patient does not want it
- | gauge and respond to the patient's reaction to the news

# The stages in breaking bad news

(after Maguire et al., 1986)

- Setting
- Finding out what the patient already knows or suspects
- Finding out how much more they want to know
- Firing the warning shot
- Hierarchy of euphemisms
- Observing and responding to the patient's reactions at each step
- Contract for the future

# SPIKES

- | S – Setting up the interview
- | P – assessing the patient's Perception
- | I – obtaining the patient's Invitation
- | K – giving Knowledge and information
- | E – addressing the patient's Emotions  
with Empathic responses
- | S – Strategy and Summary

Baile et al, Oncology 2000



# Initial information

- usually after an inpatient workup
- stepwise offering of information over several days
- final discussion with:
  - relatives
  - social worker
  - ALS clinic physician

# Minimal information

- name of the disease
- progressive disease of the motor nerves
- emphasis on positive aspects and palliative measures
- discussion of ongoing research and drug studies
- discussion of available drug options

# Additional information

- beginning of the disease: long before onset of symptoms
- no sudden worsening to be expected
- patient organisations (ALSA, MNDA...)
- second opinion
- prognosis: no reliable prediction possible

# Therapies

- approved therapies
  - realistic expectations
  - known side effects
- "alternative" therapies
  - no concerns regarding e.g. homeopathy or acupuncture
  - warning about health and financial risks

# Possible or suspected ALS

- careful approach, depending on the degree of clinical certainty
- discussion of possible differential diagnoses and planned diagnostic workup
- if ALS is strongly suspected:  
information about palliative therapy and available medication

**„If we want to help somebody, we must first find out where he stands.** This is the secret of all caring. Those who cannot do this, are stuck with an illusion if they think they can help others. In order to really be able to help somebody, I must understand more than he does – but first and foremost I must understand what he understands. If I do not, then my greater understanding won't help him at all.“

(cont.)

„If I still want to assert my greater understanding, then it is because of my vanity, or my pride, so that my goal actually, instead of helping him, is to be admired by him. But: **Every real act of help starts with an act of humility**; the helper must understand that helping does not mean ruling, but serving, and that helping also implies the willingness to accept that one may be wrong, that one may not understand what the other understands.“

Søren Kierkegaard

THE PATIENTS KNOW MORE ABOUT  
THEIR DISEASES THAN ME. I MUST  
GET FASTER MODEM, HIGHER  
SPEED INTERNET ACCESS THAN  
THEM



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When should  
communication about  
end-of-life issues be  
initiated in ALS?

# Information on respiratory failure, ventilation and terminal phase

- | at the onset of
  - dyspneic symptoms
  - symptoms of chronic nocturnal hypoventilation
  - rapid decrease of vital capacity
- | description of hypercapnic coma
- | discussion of ventilatory options
- | **advance care planning**

# Movie „Death on request“

(The Netherlands, 1994)

- | Documentary on the euthanasia of an ALS patient
- | >10 untreated symptoms (incl. pain, dyspnea, depression and anxiety)
- | Two physician state that, without euthanasia, he will „choke to death“
- | Patient asks for, and receives, euthanasia

0 **The information was wrong!**

# The terminal phase in ALS

(Neudert et al, J Neurol 2001)

- | 171 patients (Munich 121, Rochester 50)
- | causes of death: respiratory insufficiency 86%, cardiac failure 6%, pneumonia 5%
- | 91% peaceful deaths (USA: 90.4%)
- | **no patient choked to death**

# Triggers for end of life discussions in ALS

- | The patient or family asks – or “opens the door”
- | Severe psychosocial and/or spiritual distress
- | Pain requiring high doses of analgesics
- | Dysphagia requiring feeding tube
- | Dyspnea, symptoms of hypoventilation, FVC <50%
- | Loss of function in two body regions

# End-of-Life decisions in ALS

- | Patients' QoL priorities and EoL preferences change over a 6-month period  
(Silverstein et al. 1991; Neudert et al. 2001)
- | Religiousness and spirituality influence EoL decisions (Murphy et al. 2000; Robbins et al. 2001)

# Breaking the news: Starting Advance Care Planning

- | Several neurological disorders show a progressive loss of cognitive functions
- | „Window of opportunity“ for advance directives, health care proxy, ACP
- | Responsibility of the neurologist





Ethics of dialogue:  
Expression of care

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